

# Multigraph Augmentation under Biconnectivity and General Edge-Connectivity Requirements \*

Toshimasa Ishii<sup>†</sup>, Hiroshi Nagamochi<sup>†</sup> and Toshihide Ibaraki<sup>††</sup>

<sup>†</sup>Department of Information and Computer Sciences,  
Toyohashi University of Technology,  
Aichi 441-8580, Japan.  
{ishii,naga}@ics.tut.ac.jp

<sup>††</sup>Department of Applied Mathematics and Physics,  
Kyoto University  
Kyoto 606-8501, Japan.  
ibarak@amp.i.kyoto-u.ac.jp

**Abstract** Given an undirected multigraph  $G = (V, E)$  and a requirement function  $r_\lambda : \binom{V}{2} \rightarrow Z^+$  (where  $\binom{V}{2}$  is the set of all pairs of vertices and  $Z^+$  is the set of nonnegative integers), we consider the problem of augmenting  $G$  by the smallest number of new edges so that the local edge-connectivity and vertex-connectivity between every pair  $x, y \in V$  become at least  $r_\lambda(x, y)$  and two, respectively. In this paper, we show that the problem can be solved in  $O(n^3(m + n) \log(n^2/(m + n)))$  time, where  $n$  and  $m$  are the numbers of vertices and pairs of adjacent vertices in  $G$ , respectively. This time complexity can be improved to  $O((nm + n^2 \log n) \log n)$ , in the case of the uniform requirement  $r_\lambda(x, y) = \ell$  for all  $x, y \in V$ . Furthermore, for the general  $r_\lambda$ , we show that the augmentation problem that preserves the simplicity of the resulting graph can be solved in polynomial time for any fixed  $\ell^* = \max\{r_\lambda(x, y) \mid x, y \in V\}$ .

**Keywords:** undirected multigraph, edge-connectivity, vertex-connectivity, graph augmentation, polynomial deterministic algorithm.

## 1 Introduction

Let  $G = (V, E)$  stand for an undirected multigraph with a set  $V$  of *vertices* and a set  $E$  of *edges*, where we denote  $|V|$  by  $n$  (or by  $n(G)$ ) and the number of pairs of vertices which are adjacent in  $G$  by  $m$  (or by  $m(G)$ ). An edge with end vertices  $u$  and  $v$  is denoted by  $(u, v)$ . Throughout

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the paper, an undirected multigraph is called a graph unless a confusion arises. The *local edge-connectivity*  $\lambda_G(x, y)$  (resp., the *local vertex-connectivity*  $\kappa_G(x, y)$ ) for two vertices  $x, y \in V$  is defined to be the maximum number of edge-disjoint paths (resp., vertex-disjoint paths) between  $x$  and  $y$ . For a function  $r_\lambda : \binom{V}{2} \rightarrow Z^+$  (resp.,  $r_\kappa : \binom{V}{2} \rightarrow Z^+$ ), where  $\binom{V}{2}$  denotes the set of pairs of vertices and  $Z^+$  denotes the set of nonnegative integers, we say that  $G = (V, E)$  is  *$r_\lambda$ -edge-connected* (resp.,  *$r_\kappa$ -vertex-connected*) if  $\lambda_G(x, y) \geq r_\lambda(x, y)$  (resp.,  $\kappa_G(x, y) \geq r_\kappa(x, y)$ ) holds for every  $x, y \in V$ . In particular, for a nonnegative integer  $\ell$  (resp.,  $k$ ),  $G$  is called  *$\ell$ -edge-connected* (resp.,  *$k$ -vertex-connected*), if  $\lambda_G(x, y) \geq \ell$  (resp.,  $\kappa_G(x, y) \geq k$ ) holds for every  $x, y \in V$ . Then the  *$r_\lambda$ -edge-connectivity augmentation problem* (resp., the  *$r_\kappa$ -vertex-connectivity augmentation problem*) asks to augment  $G$  by a smallest number of new edges so that the resulting multigraph  $G'$  becomes  $r_\lambda$ -edge-connected (resp.,  $r_\kappa$ -vertex-connected).

Multigraph augmentation problems to meet edge-connectivity or vertex-connectivity requirement have been extensively studied as important subjects in the network design problem, the data security problem [25] and the graph drawing problem [23, 24] and others.

Watanabe and Nakamura [31] first proved that the  $\ell$ -edge-connectivity augmentation problem can be solved in polynomial time for any given integer  $\ell$ . Their algorithm increases the edge-connectivity one by one on the basis of the structural information of  $G$  in order to an  $\ell$ -edge-connected graph. Currently, an  $O(e + \ell^2 n \log n)$  time algorithm due to Gabow [6], where  $e = |E|$ , is the fastest among existing algorithms of this type. Different from the approach by Watanabe and Nakamura, Cai and Sun [2] first pointed out that the  $\ell$ -edge-connectivity augmentation problem can be directly solved by applying the edge-splitting theorem. Based on this, Frank [4] gave a refined  $O(n^5)$  time augmentation algorithm by using Lovász edge-splitting theorem. Recently, an  $O(n(m + n \log n) \log n)$  time augmentation algorithm is proposed by Nagamochi and Ibaraki [28]. For a general requirement function  $r_\lambda$ , Frank [4] showed that the edge-connectivity augmentation problem can be solved in polynomial time by using Mader's edge-splitting theorem [27]. The time complexity for this problem was recently improved by Gabow [7] to  $O(n^3 m \log(n^2/m))$ .

As to vertex-connectivity augmentation, several algorithms have been developed to add the minimum number of new edges to make a  $(k-1)$ -vertex-connected graph  $G$   $k$ -vertex-connected. Eswaran and Tarjan [3] proved that the vertex-connectivity augmentation problem for  $k = 2$  can be solved. Watanabe and Nakamura [32] stated the same result for  $k = 3$ . For a general  $k$ , Jordán presented an  $O(n^5)$  time approximation algorithm for this problem [20, 21] such that the gap between the number of new edges added by his algorithm and the optimal value is at most  $(k-2)/2$ .

It is known that the uniform  $k$ -vertex-connectivity augmentation problem for  $k \in \{2, 3, 4\}$  can be solved in polynomial time ([3, 14] for  $k = 2$ , [13, 32] for  $k = 3$ , and [11] for  $k = 4$ ), where an input graph  $G$  may not be  $(k-1)$ -vertex-connected. However, whether there is a polynomial time algorithm for the vertex-connectivity augmentation problem for an arbitrary  $k$  is an open question (even if  $G$  is  $(k-1)$ -vertex-connected). For a general requirement function  $r_\kappa$ , the problem was shown to be NP-hard by Jordán [19].

In a communication network, both the edge-connectivity and vertex-connectivity are funda-

mental measures of reliability against link failures and node failures, respectively. In this paper, therefore, we consider the problem of augmenting  $G$  by the smallest number of new edges to satisfy both edge-connectivity and vertex-connectivity requirements. This problem has not been studied much except for the following work by Hsu and Kao [12]. Given a multigraph  $G = (V, E)$  with two specified subsets  $X$  and  $Y$  of  $V$ , they present a linear time algorithm for augmenting  $G$  by the smallest number of edges so that the resulting multigraph  $G'$  satisfies  $\lambda_{G'}(x, x') \geq 2$  for all  $x, x' \in X$  and  $\kappa_{G'}(y, y') \geq 2$  for all  $y, y' \in Y$ .

Now we define the *edge-and-vertex-connectivity augmentation problem*, denoted by  $\text{EVAP}(r_\lambda, r_\kappa)$ , as the problem of augmenting  $G$  by the smallest number of new edges so that the resulting multigraph  $G'$  becomes  $r_\lambda$ -edge-connected and  $r_\kappa$ -vertex-connected (hereafter we call this  $(r_\lambda, r_\kappa)$ -connected). Without loss of generality,  $r_\lambda(x, y) \geq r_\kappa(x, y)$  is assumed for all  $x, y \in V$ , since if a multigraph is  $r_\kappa$ -vertex-connected then it is  $r_\kappa$ -edge-connected. Clearly,  $\text{EVAP}(r_\lambda, r_\kappa)$  contains the edge-connectivity augmentation problem and the vertex-connectivity augmentation problem as its special cases. When the requirement function  $r_\kappa$  satisfies  $r_\kappa(x, y) = \ell \in \mathbb{Z}^+$  for all  $x, y \in V$ , this problem is also denoted as  $\text{EVAP}(r_\lambda, \ell)$ . In this paper, we present an algorithm to solve problem  $\text{EVAP}(r_\lambda, 2)$ . We first derive a lower bound on the number of edges in order to make a given multigraph  $G$   $(r_\lambda, 2)$ -connected, and then show that this lower bound is always attainable by an optimal solution. The task of constructing such an optimal set of new edges can be performed in  $O(n^3(m+n) \log(n^2/(m+n)))$  time.

In Section 2, after introducing basic definitions, we present a lower bound on the number of new edges necessary to make a multigraph  $G$   $(r_\lambda, r_\kappa)$ -connected and introduce the concept of edge-splitting. In Section 3, we outline our algorithm for finding such an edge set. In Sections 4 – 7, we prove the correctness of our algorithm. In Section 8, we consider the problem of a simple graph while preserving the simplicity of the graph, and show that this can be solved in polynomial time for any fixed  $k = \max\{r_\lambda(x, y) \mid x, y \in V\}$ . In Section 9, we state a concluding remark.

## 2 Preliminaries

### 2.1 Definitions

In a graph  $G = (V, E)$ , its vertex set  $V$  and edge set  $E$  may be denoted by  $V(G)$  and  $E(G)$ , respectively. A singleton set  $\{x\}$  may be simply written as  $x$ , and “ $\subset$ ” implies proper inclusion while “ $\subseteq$ ” means “ $\subset$ ” or “ $=$ ”. A subset  $X$  *intersects* another subset  $Y$  if none of subsets  $X \cap Y$ ,  $X - Y$  and  $Y - X$  is empty. We say that a subset  $X$  *crosses* another subset  $Y$  if they intersect each other and in addition  $V - (X \cup Y) \neq \emptyset$  holds. A *partition*  $X_1, \dots, X_t$  of the vertex set  $V$  means a family of nonempty disjoint subsets of  $V$  whose union is  $V$ , and a *subpartition* of  $V$  means a partition of a subset  $V'$  of  $V$ .

For a subset  $V' \subseteq V$  (resp.,  $E' \subseteq E$ ) in  $G$ ,  $G[V']$  denotes the subgraph induced by  $V'$ . For  $V' \subset V$  (resp.,  $E' \subseteq E$ ), we denote subgraph  $G[V - V']$  (resp.,  $(V, E - E')$ ) by  $G - V'$  (resp.,  $G - E'$ ). For an edge set  $E'$  with  $E' \cap E = \emptyset$ , we denote the augmented graph  $G = (V, E \cup E')$  by

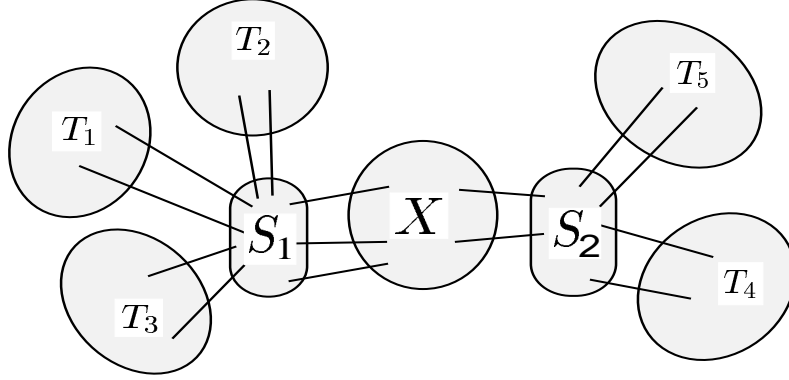


Figure 1: Illustrations of a multigraph which has exactly two minimum disconnecting set  $S_1$  and  $S_2$ . Each of cuts  $T_1, T_2, T_3$ , and  $X \cup S_2 \cup T_4 \cup T_5$  is tight, since its neighbor set is the minimum disconnecting set  $S_1$ . Similarly with respect to the minimum disconnecting set  $S_2$ , each of cuts  $T_4, T_5$  and  $X \cup S_1 \cup T_1 \cup T_2 \cup T_3$  is tight. In particular, cuts  $T_i$  for  $i = 1, \dots, 5$  are minimal tight sets since no  $T' \subset T_i$  is tight.

$G + E'$ . For two disjoint subsets of vertices  $X, Y \subset V$ , we denote by  $E_G(X, Y)$  the set of edges  $e = (x, y)$  such that  $x \in X$  and  $y \in Y$ , and also denote  $|E_G(X, Y)|$  by  $c_G(X, Y)$ . In particular,  $E_G(u, v)$  is the set of edges with end vertices  $u$  and  $v$ .

A *cut* is defined as a subset  $X$  of  $V$  with  $\emptyset \neq X \neq V$ , and the *size* of a cut  $X$  is defined by  $c_G(X, V - X)$ , which may also be written as  $c_G(X)$ . A cut with the minimum size is called a (*global*) *minimum cut*, and its size, denoted by  $\lambda(G)$ , is called the *edge-connectivity* of  $G$ . We say that a cut  $X$  *separates* two disjoint subsets  $Y$  and  $Y'$  of  $V$  if  $Y \subseteq X$  and  $Y' \subseteq V - X$  (or  $Y \subseteq V - X$  and  $Y' \subseteq X$ ). In particular, a cut  $X$  separates vertices  $x$  and  $y$  if  $x \in X$  and  $y \in V - X$  (or  $x \in V - X$  and  $y \in X$ ) hold. The local edge-connectivity  $\lambda_G(x, y)$  for two vertices  $x, y \in V$  is also defined to be the minimum size of a cut in  $G$  that separates  $x$  and  $y$  by Menger's theorem. An edge  $e$  whose removal from  $G$  increases the number of components is called a *bridge* of  $G$ .

For a subset  $X$  of  $V$ , a vertex  $v \in V - X$  is called a *neighbor* of  $X$  if it is adjacent to some vertex  $u \in X$ , and the set of all neighbors of  $X$  is denoted by  $\Gamma_G(X)$ . A maximal connected subgraph  $G'$  in a graph  $G$  is called a *component* of  $G$  (for notational convenience, a component  $H$  may be represented by its vertex set  $X = V(H)$ ), and denote the number of components in  $G$  by  $p(G)$ .

A *disconnecting set* of  $G$  is defined as a subset  $S$  of  $V$  such that  $p(G - S) > p(G)$  holds and no  $S' \subset S$  has this property. We say that a set  $S \subset V$  *disconnects* two disjoint subsets  $Y$  and  $Y'$  of  $V - S$  if no two vertices  $x \in Y$  and  $y \in Y'$  are connected in  $G - S$ . In particular,  $S$  disconnects vertices  $x$  and  $y$  if  $x$  and  $y$  are contained in different components of  $G - S$ . Also by Menger's theorem,  $\kappa_G(x, y)$  for nonadjacent vertices  $x$  and  $y$  is equal to the minimum size of a disconnecting set  $S$  that disconnects  $x$  and  $y$ . Let  $\hat{G}$  denote the simple graph obtained from  $G$  by replacing multiple edges in  $E_G(u, v)$  by a single edge  $(u, v)$  for all  $u, v \in V$ . A component

$G_1$  of  $G$  with  $|V(G_1)| \geq 3$  always has a disconnecting set unless  $\hat{G}_1$  is the complete graph. If  $G$  is connected and contains a disconnecting set, then a disconnecting set of the minimum size is called a (*global*) *minimum disconnecting set*, and its size, denoted by  $\kappa(G)$ , is called the *vertex-connectivity* of  $G$ . On the other hand, we define  $\kappa(G) = 0$  if  $G$  is not connected, and  $\kappa(G) = n - 1$  if  $\hat{G}$  is a complete graph. A vertex  $v$  is called a *cut vertex* in  $G = (V, E)$  if  $S = \{v\}$  is a minimum disconnecting set in  $G$ . A subset  $X \subset V$  is *biconnected* if  $\kappa_G(x, y) \geq 2$  holds for all  $x, y \in X$ . A cut  $T \subset V$  is called *tight* if  $\Gamma_G(T)$  is a *minimum* disconnecting set in  $G$  (see Figure 1). Note that every tight set  $T$  satisfies  $V - T - \Gamma_G(T) \neq \emptyset$ . A tight set  $T$  is called *minimal* if no  $T' \subset T$  is tight (hence, the induced subgraph  $G[T]$  is connected). Let  $t(G)$  be the maximum number of pairwise disjoint minimal tight sets in  $G$ . For a subset  $S \subset V$ , a component  $T$  in  $G - S$  is called an *S-component* if  $\Gamma_G(T) \cap S \neq \emptyset$  holds. If  $S = \{x\}$ , then such a component is called an *x-component*. Note that  $v$  is a cut vertex of  $G$  if and only if there is more than one  $v$ -component. It is not difficult to observe the following lemma about  $x$ -components for a vertex  $x \in V$ .

**Lemma 2.1** [15] *Let  $X \subset V$  be an  $x$ -component of a vertex  $x \in V$  in a multigraph  $G = (V, E)$ . If  $X$  contains a cut vertex  $y$  in  $G$ , then there is a  $y$ -component  $Y \subset X$ .  $\square$*

## 2.2 Lower Bounds

In this section, we derive two lower bounds,  $\lceil \hat{\alpha}(G)/2 \rceil$  and  $\hat{\beta}(G)$ , on the number of new edges that is necessary to make a given multigraph  $G$   $(r_\lambda, 2)$ -connected. Let us first define

$$r_\lambda(X) = \max\{r_\lambda(u, v) \mid u \in X, v \in V - X\} \text{ for each cut } X.$$

To make  $G$   $r_\lambda$ -edge-connected and 2-vertex-connected, it is necessary to add

- (a) at least  $\max\{r_\lambda(X) - c_G(X), 0\}$  edges between  $X$  and  $V - X$ , for each cut  $X$  (see Figure 2(a)),
- (b) at least  $\max\{2 - |\Gamma_G(X)|, 0\}$  edges between  $X$  and  $V - X - \Gamma_G(X)$  for each cut  $X$  with  $V - X - \Gamma_G(X) \neq \emptyset$  (see Figure 2(b)).

Therefore, given a subpartition  $\mathcal{X} = \{X_1, \dots, X_p, X_{p+1}, \dots, X_q\}$  of  $V$  with  $V - X_i - \Gamma_G(X_i) \neq \emptyset$  for  $i = p + 1, \dots, q$ , we can sum up “deficiency”  $\max\{r_\lambda(X_i) - c_G(X_i), 0\}$ ,  $i = 1, \dots, p$ , and  $\max\{2 - |\Gamma_G(X_i)|, 0\}$ ,  $i = p + 1, \dots, q$ . Since adding one edge to  $G$  contributes to the deficiency of at most two cuts in  $\mathcal{X}$ , we need at least  $\lceil \hat{\alpha}(G)/2 \rceil$  new edges to make  $G$   $(r_\lambda, r_\kappa)$ -connected, where

$$\hat{\alpha}(G) = \max_{\text{all subpartitions } \mathcal{X}} \left\{ \sum_{i=1}^p (r_\lambda(X_i) - c_G(X_i)) + \sum_{i=p+1}^q (2 - |\Gamma_G(X_i)|) \right\}, \quad (2.1)$$

and the max is taken over all subpartitions  $\mathcal{X} = \{X_1, \dots, X_p, X_{p+1}, \dots, X_q\}$  of  $V$  with  $V - X_i - \Gamma_G(X_i) \neq \emptyset$ ,  $i = p + 1, \dots, q$ .

In a 2-vertex-connected graph, the deletion of any one vertex in  $V$  does not disconnect the graph. Hence in order to make  $G$  2-vertex-connected, it is necessary to add

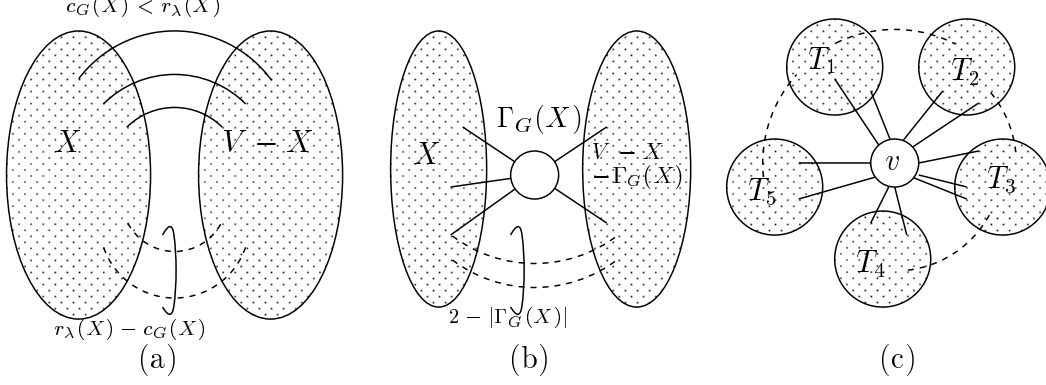


Figure 2: Illustrations of necessary edge augmentations.

(c) at least  $p(G - v) - 1$  edges to connect components of  $G - v$  for a vertex  $v$  (see Figure 2(c)).

Let us define

$$\hat{\beta}(G) = \max\{p(G - v) - 1 | v \in V\}. \quad (2.2)$$

Combining the above two lower bounds from (2.1) and (2.2), we establish the next lemma.

**Lemma 2.2 (Lower Bound)** *To make a given multigraph  $G$   $(r_\lambda, 2)$ -connected, at least*

$$\gamma(G) = \max\{\lceil \hat{\alpha}(G)/2 \rceil, \hat{\beta}(G)\}$$

*new edges must be added, where  $\hat{\alpha}(G)$  and  $\hat{\beta}(G)$  are given by (2.1) and (2.2), respectively.*  $\square$

This says that a set of new edges is an optimal solution to  $\text{EVAP}(r_\lambda, 2)$  if its size is equal to  $\gamma(G)$  and the resulting multigraph is  $(r_\lambda, 2)$ -connected. We will show that this is always the case by presenting a polynomial time algorithm for constructing such a set of edges.

### 2.3 Edge-Splitting

In this subsection, we review the operation of *edge-splitting*. Given a multigraph  $G = (V, E)$ , a designated vertex  $s \in V$ , vertices  $u, v \in \Gamma_G(s)$  (possibly  $u = v$ ) and a nonnegative integer  $\delta \leq \min\{c_G(s, u), c_G(s, v)\}$ , we construct multigraph  $G' = (V, E')$  by deleting  $\delta$  edges from both  $E_G(s, u)$  and  $E_G(s, v)$ , and adding new  $\delta$  edges to  $E_G(u, v)$ ;  $c_{G'}(s, u) := c_G(s, u) - \delta$ ,  $c_{G'}(s, v) := c_G(s, v) - \delta$ ,  $c_{G'}(u, v) := c_G(u, v) + \delta$ , and  $c_{G'}(x, y) := c_G(x, y)$  for all other pairs  $x, y \in V$ . In the case  $u = v$ , we interpret that  $c_{G'}(s, u) := c_G(s, u) - 2\delta$ ,  $c_{G'}(u, u) := c_G(u, u) + \delta$ , and  $c_{G'}(x, y) := c_G(x, y)$  for all other pairs  $x, y \in V$ , where an integer  $\delta$  is chosen so as to

satisfy  $0 \leq \delta \leq \frac{1}{2}c_G(s, u)$ . We say that  $G'$  is obtained from  $G$  by *splitting*  $\delta$  pair of edges  $(s, u)$  and  $(s, v)$  (or by splitting  $(s, u)$  and  $(s, v)$  by size  $\delta$ ). A sequence of splittings is *complete* if the resulting multigraph  $G'$  does not have any neighbor of  $s$ . The following theorem is proven by Mader [27].

**Theorem 2.1** [27] *Let  $G = (V, E)$  be a multigraph with a designated vertex  $s \in V$  with  $c_G(s) \neq 1, 3$  and  $\lambda_G(x, y) \geq 2$  for all pairs  $x, y \in V - s$ . Then there is a pair of two edges  $e_1, e_2 \in E_G(s)$  such that the multigraph  $G'$  obtained by splitting edges  $e_1$  and  $e_2$  satisfies  $\lambda_{G'}(x, y) = \lambda_G(x, y)$  for all pairs  $x, y \in V - s$ .  $\square$*

Repeating this, we see that, if  $c_G(s)$  is even, there always exists a complete splitting at  $s$  such that the resulting multigraph  $G'$  satisfies  $\lambda_{G'-s}(x, y) = \lambda_G(x, y)$  for every pair of  $x, y \in V - s$ . Gabow [7] proved that such a complete splitting at  $s$  can be computed in  $O(n^3 m \log(n^2/m))$  time and the number of new pairs of vertices which became adjacent by the created edges is  $O(n)$ .

### 3 A Polynomial Time Algorithm for EV-AUGMENT

In this section, a polynomial time algorithm for solving  $\text{EVAP}(r_\lambda, 2)$ , called EV-AUGMENT, is presented. For this, we introduce some definitions. Given a cut vertex  $v$  in  $G$ , an edge  $e = (u, w)$  with  $u, w \neq v$  is called *admissible* with respect to  $v$ , if  $p((G - v) - e) = p(G - v)$ . By definition, there is no admissible edge if  $G$  has no cut vertex. For a subset  $F$  of edges in  $G$ , we say that two edges  $e_1 = (u_1, w_1)$  and  $e_2 = (u_2, w_2)$  in  $F$  are *switched* in  $F$ , if we delete  $e_1$  and  $e_2$  from  $F$  and add edges  $(u_1, u_2)$  and  $(w_1, w_2)$  to  $F$ .

EV-AUGMENT consists of the following four major steps. In each step, we also describe some properties used to show its correctness. The proofs for these properties will be given in the subsequent sections. Figure 3 illustrates the process of these four steps for an example multigraph.

#### Algorithm EV-AUGMENT

**Input:** An undirected multigraph  $G = (V, E)$  with  $|V| \geq 3$ , and a requirement function  $r_\lambda : \binom{V}{2} \rightarrow \mathbb{Z}^+$ .

**Output:** A set  $F$  of the smallest number of new edges such that  $G + F$  is  $(r_\lambda, 2)$ -connected.

**Step I (Addition of vertex  $s$  and associated edges):** Add a new vertex  $s$  together with a set  $F_1$  of edges between  $s$  and  $V$  so that the resulting multigraph  $G_1 = (V \cup \{s\}, E \cup F_1)$  satisfies

$$c_{G_1}(X) \geq r_\lambda(X) \quad \text{for all cuts } X \subset V, \quad (3.1)$$

$$|\Gamma_G(X)| + |\Gamma_{G_1}(s) \cap X| \geq 2 \quad \text{for all cuts } X \subset V \text{ such } V - X - \Gamma_G(X) \neq \emptyset \quad (3.2)$$

(except for  $X = \{x\}$  which is an isolated vertex in  $G$ ; i.e.,  $|X| = 1$  and  $\Gamma_G(X) = \emptyset$ )

and  $F_1$  is minimal (i.e., any proper subset of  $F_1$  violates (3.1) or (3.2)). By (3.1),  $G_1$  satisfies  $r_\lambda$ -edge-connectivity:  $\lambda_{G_1}(x, y) \geq r_\lambda(x, y)$  for all  $x, y \in V$ .

**Property 3.1** *The subset  $F_1$  obtained in Step I satisfies  $|F_1| = \hat{\alpha}(G)$ .*  $\square$

**Step II (Edge-splitting):** If  $c_{G_1}(s)$  is odd, then add one edge  $\hat{e} = (s, \hat{w})$  to  $F_1$  by choosing an arbitrary vertex  $\hat{w} \in V$  which is not a cut vertex in  $G$ .

Then find a complete edge-splitting at  $s$  in  $G_1 = (V \cup \{s\}, E \cup F_1)$  which preserves the  $r_\lambda$ -edge-connectivity, i.e.,  $\lambda_{G_2}(x, y) \geq r_\lambda(x, y)$  for all pairs  $x, y \in V$ , where  $G_2 = (V, E \cup F_2)$  denotes the resulting multigraph (ignoring the isolated vertex  $s$ ). By Theorem 2.1, there always exists such a complete edge-splitting.

If  $\kappa(G_2) \geq 2$ , then we are done, because  $|F_2| = |F_1|/2 = \lceil \hat{\alpha}(G)/2 \rceil$  attains the lower bound of Lemma 2.2. Otherwise, go to Step III.

**Step III (Switching edges):** The current multigraph  $G_2$  is  $r_\lambda$ -edge-connected, but has cut vertices.  $G_2$  satisfies

$$\begin{aligned} &\text{for any cut vertex } v \text{ and its } v\text{-component } T, G_2[T \cup \{v\}] \text{ contains} \\ &\text{at least one edge in } F_2, \end{aligned} \tag{3.3}$$

since if this does not hold, it means by the property of edge splitting that  $T$  contains no end vertex of an edge in  $F_1$  in  $G_1$  in Step I;  $|\Gamma_G(T)| \leq 1$  and  $c_{G_1}(s, T) = 0$  in Step I, contradicting (3.2).

We switch some number of pairs of edges  $e_1, e_2 \in F_2$  to recover 2-vertex-connectivity of  $G_2$  while preserving the  $r_\lambda$ -edge-connectivity.

**Property 3.2** *If  $G_2$  has two cut vertices  $v_1$  and  $v_2$ , then there are  $v_1$ -component  $T_1$  and  $v_2$ -component  $T_2$  such that  $T_1 \cap T_2 = \emptyset$ . For this  $T_1$ , an arbitrary edge  $e_1 \in F_2$  in  $G_2[T_1 \cup \{v_1\}]$  is admissible with respect to  $v_2$ .*  $\square$

**Property 3.3** *Given a cut vertex  $v$  in  $G_2$ , assume that there is an edge  $e_1 \in F_2$  in a  $v$ -component  $T_1$  of  $G_2$ , admissible with respect to  $v$ . Let  $T_2$  be another  $v$ -component such that  $e_1 \notin E(G_2[T_2 \cup \{v\}])$ . Then  $G_2[T_2 \cup \{v\}]$  contains an edge  $e_2 \in F_2$  (by (3.3)), and the multigraph  $G'_2$  resulting from switching  $e_1$  and  $e_2$  satisfies the followings.*

- (i)  $\lambda_{G'_2}(x, y) \geq r_\lambda(x, y)$  for all  $x, y \in V$  (i.e., the  $r_\lambda$ -edge-connectivity is preserved).
- (ii)  $p(G'_2 - v) < p(G_2 - v)$  (i.e., the number of  $v$ -components in  $G_2$  decreases at least by one).
- (iii)  $\kappa_{G'_2}(x, y) \geq 2$  holds for any pair  $x, y \in V$  such that  $\kappa_{G_2}(x, y) \geq 2$ .
- (iv) (3.3) holds in  $G'_2$ .  $\square$



As long as Property 3.3 is applicable, we repeat switching pairs of edges  $e_1, e_2 \in F_2$ , by setting  $G_2 := G'_2$  after each switching. Note that the number of  $v$ -components decreases and the number of  $v'$ -components with  $v' \neq v$  does not increase since  $G'_2$  satisfies (ii) and (iii) in Property 3.3.

Let  $G_3 = (V, E \cup F_3)$  be the multigraph obtained by such a sequence of switchings in  $F_2$ , where  $F_3$  denotes the final  $F_2$ . Clearly,  $|F_3| = |F_2| = \lceil \hat{\alpha}(G)/2 \rceil$  holds.

Note that if  $G_2$  has at least two cut vertices, Property 3.2 ensures that the condition of Property 3.3 holds (consider  $v_2$  as the cut vertex  $v$ ). If  $G_3$  has no cut vertex, then we are done, since  $|F_3| = \lceil \hat{\alpha}(G)/2 \rceil$  implies that  $G_3$  is optimally augmented. Now we assume that  $G_3$  has exactly one cut vertex, and go to Step IV.

**Step IV (Edge augmentation):** The current  $G_3$  has exactly one cut vertex  $v$ , and we can prove the following property.

**Property 3.4** *For the multigraph  $G_3$  and its cut vertex  $v$ , it holds  $p(G_3 - v) = p(G - v) - \lceil \hat{\alpha}(G)/2 \rceil$ .*  $\square$

Denote  $G'_3 = G_3 - v$ , and consider all  $v$ -components  $T_1, \dots, T_{p(G'_3)}$  in  $G_3$ . Choose a vertex  $x_i$  from each  $T_i$ , and add a set  $F_4$  of  $p(G'_3) - 1$  edges  $(x_i, x_{i+1})$ ,  $i = 1, \dots, p(G'_3) - 1$  to  $G_3$ , by which  $G_3$  becomes a 2-vertex-connected multigraph  $G_4 = G_3 + F_4$ . From Property 3.4 and  $\hat{\beta}(G) \geq p(G - v) - 1$  (by (2.2)), we see that  $p(G'_3) - 1 = p(G - v) - \lceil \hat{\alpha}(G)/2 \rceil - 1 \leq \hat{\beta}(G) - \lceil \hat{\alpha}(G)/2 \rceil$ . Therefore,  $|F_3| + |F_4| = \lceil \hat{\alpha}(G)/2 \rceil + (p(G'_3) - 1) \leq \hat{\beta}(G)$ . By the lower bound  $\hat{\beta}(G)$  of Lemma 2.2, this implies that  $G_4$  is optimally augmented.  $\square$

This algorithm, together with the proofs and complexity analysis in the subsequent sections, establishes the next theorem.

**Theorem 3.1** *Given a multigraph  $G$  with  $n$  vertices and  $m$  edges, and a requirement function  $r_\lambda : \binom{V}{2} \rightarrow \mathbb{Z}^+$ ,  $G$  can be augmented to a  $(r_\lambda, 2)$ -connected multigraph by adding  $\gamma(G) = \max\{\lceil \hat{\alpha}(G)/2 \rceil, \hat{\beta}(G)\}$  new edges in  $O(n^3(m + n) \log(n^2/(m + n)))$  time.*  $\square$

## 4 Step I

This section proves Property 3.1, which ensures that Step I correctly computes  $\hat{\alpha}(G)$ .

**Proof of Property 3.1:** Let  $G_1$  be the multigraph obtained from  $G$  by Step I. By (3.1),  $G_1$  satisfies  $\lambda_{G_1}(x, y) \geq r_\lambda(x, y) \geq 2$  for all  $x, y \in V$ . First we see  $|F_1| \geq \hat{\alpha}(G)$ , since otherwise some cut  $X \subset V$  violates (3.1) or (3.2).

In what follows, we prove the converse,  $|F_1| \leq \hat{\alpha}(G)$ . A cut  $X \subset V$  is called *critical* in  $G_1$  if  $s \in \Gamma_{G_1}(X)$  holds and the removal of some edge  $e \in E_{G_1}(s, X)$  violates (3.1) or (3.2). Clearly, a cut  $X \subset V$  with  $s \in \Gamma_{G_1}(X)$  is critical if and only if at least one of the following conditions holds:

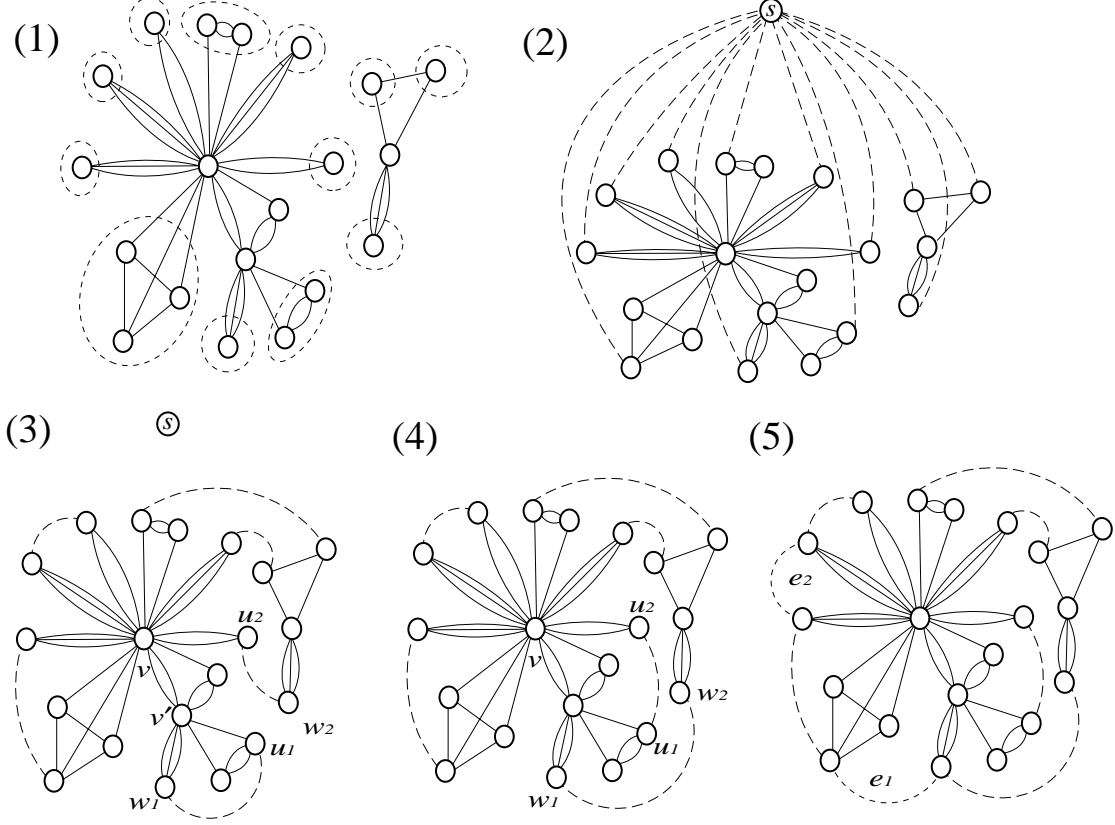


Figure 3: Computation of algorithm EV-AUGMENT for the requirement  $r_\lambda(x, y) = 3$  and  $r_\kappa(x, y) = 2$  for all  $x, y \in V$ . (1) An input multigraph  $G = (V, E)$ . The two lower bounds given in Section 2 are  $\lceil \frac{\hat{\alpha}(G)}{2} \rceil = \frac{12}{2} = 6$  and  $\hat{\beta}(G) = 9 - 1 = 8$ , where the corresponding subpartition is illustrated by broken circles. (2) The multigraph  $G_1 = (V \cup \{s\}, E \cup F_1)$  after Step I. Edges in  $F_1$  are drawn as broken lines. Observe that  $c_{G_1}(X) \geq 3$  for all cuts  $X \subset V$ , and  $|\Gamma_{G_1}(X \cup s)| \geq 2$  for all cuts  $X \subset V$  with  $V - X - \Gamma_{G_1}(X) \neq \emptyset$ , and  $|F_1| = \hat{\alpha}(G)$ . (3) The multigraph  $G_2 = (V, E \cup F_2)$  obtained in Step II.  $G_2$  satisfies  $\lambda(G_2) \geq 3$  but has cut vertices  $v$  and  $v'$ . The edge  $(u_1, w_1) \in F_2$  is admissible with respect to  $v$  in  $G_2$ , and edge  $(u_2, w_2) \in F_2$  is contained in a  $v$ -component that does not contain  $(u_1, w_1)$ . (4) The multigraph  $G'_2 = (V, E \cup F'_2)$  obtained from  $G_2$  by switching edges  $(u_1, w_1)$  and  $(u_2, w_2)$  into  $(u_1, u_2)$  and  $(w_1, w_2)$  during Step III. Observe that  $\lambda(G'_2) \geq 3$  holds and the number of  $v$ -components is decreased by one. Moreover,  $G'_2$  has no admissible edge in  $F'_2$  (that is,  $G_3 = G'_2$  and  $F_3 = F'_2$ ). (5) The multigraph  $G_4 = (V, E \cup F_3 \cup F_4)$  obtained by adding an edge set  $F_4 = \{e_1, e_2\}$  to  $G'_2 (= G_3)$  in Step IV, where  $\hat{\beta}(G) - \lceil \frac{\hat{\alpha}(G)}{2} \rceil = 2$ . This  $G_4$  is  $(3, 2)$ -connected.  $\square$

- (1)  $c_{G_1}(X) = r_\lambda(X)$ .
- (2)  $|\Gamma_G(X)| = 1$ ,  $c_{G_1}(s, X) = 1$  and  $V - X - \Gamma_{G_1}(X) \neq \emptyset$ .
- (3)  $|\Gamma_G(X)| = 0$ ,  $|\Gamma_{G_1}(s) \cap X| = 2$ , and  $c_{G_1}(s, u) = 1$  for some  $u \in \Gamma_{G_1}(s) \cap X$ .

A cut  $X$  is called *critical of type* (1) (resp., (2) and (3)) if it satisfies (1) (resp., (2) and (3)).

We will now prove via several claims that  $G_1$  has a set of critical cuts  $X_1, \dots, X_q$  only of types (1) and (2) such that

$$\Gamma_{G_1}(s) \subseteq X_1 \cup \dots \cup X_q \text{ and } X_i \cap X_j = \emptyset, \ 1 \leq i < j \leq q. \quad (4.1)$$

This implies that  $|F_1| = \left\{ \sum_{i=1}^p (r_\lambda(X_i) - c_G(X_i)) + \sum_{i=p+1}^q (2 - |\Gamma_G(X_i)|) \right\}$ , where cuts  $X_i$  for  $i = 1, \dots, p$  are of type (1) and cuts  $X_i$  for  $i = p+1, \dots, q$  are of type (2). This and the definition of  $\hat{\alpha}(G)$  imply  $|F_1| \leq \hat{\alpha}(G)$ .

Now it is not difficult to verify the following claims (see [15] for the proofs). A critical cut  $X$  is called *u-minimal* for  $u \in \Gamma_{G_1}(s) \cap X$  if there is no critical cut  $X'$  with  $\{u\} \subseteq X' \subset X$ .

**Claim 4.1** *Any critical cut  $X$  induces a connected subgraph  $G_1[X]$  ( $=G[X]$ ).*  $\square$

**Claim 4.2** *Any critical cut  $X$  of type (3) is also critical of type (1).*  $\square$

**Claim 4.3** [4] *Let  $X$  and  $Y$  be critical cuts of type (1) in  $G_1$ . Then at least one of the following statements holds.*

- (i) *Both  $X \cap Y$  and  $X \cup Y$  are critical of type (1).*
- (ii) *Both  $X - Y$  and  $Y - X$  are critical of type (1), and  $c_{G_1}(X \cap Y, (V \cup \{s\}) - (X \cup Y)) = 0$ .*

$\square$

**Claim 4.4** *Let  $X$  and  $Y$  be critical cuts of type (2) such that  $X$  and  $Y$  are respectively  $u$ -minimal and  $v$ -minimal for  $u \in (X - Y) \cap \Gamma_{G_1}(s)$  and  $v \in (Y - X) \cap \Gamma_{G_1}(s)$ . Then  $X \cup Y$  is a critical cut of type (1) or  $X \cap Y = \emptyset$ .*  $\square$

**Claim 4.5** *Let  $X$  be a critical cut of type (1), and  $Y$  be a critical cut of type (2) such that  $\Gamma_{G_1}(s) \cap (Y - X) \neq \emptyset$ . If  $X$  and  $Y$  cross each other in  $G_1$ , then  $c_{G_1}(X \cap Y, s) = 0$  holds and cut  $Y - X$  is critical of type (1).*  $\square$

Let  $N_1$  be the set of  $u \in \Gamma_{G_1}(s)$  such that there is a critical cut  $X$  of type (1) with  $u \in X$ . Let us consider a set  $\mathcal{X}_1$  of critical cuts of type (1) such that  $N_1 \subseteq \cup_{X \in \mathcal{X}_1} X$ . We choose  $\mathcal{X}_1$  so that  $\sum_{X \in \mathcal{X}_1} |X|$  is minimized. For  $N_2 = \Gamma_{G_1}(s) - N_1$ , we choose a  $u$ -minimal critical cut  $X_u$  of type (2) for each  $u \in N_2$ , and let  $\mathcal{X}_2 = \{X_u \mid u \in N_2\}$  (note that Claim 4.2 implies that every  $v \in N_2$  is contained in some critical cut of type (2)). Then the next claim proves the existence of a set of critical cuts of (4.1).

**Claim 4.6** *The above family of cuts  $\mathcal{X} = \mathcal{X}_1 \cup \mathcal{X}_2$  gives disjoint critical cuts of types (1) and (2) such that  $\Gamma_{G_1}(s) \subseteq \cup_{X_i \in \mathcal{X}} X_i$ .*

**Proof:** Let  $\mathcal{X}_1 = \{X_1, \dots, X_p\}$  and  $\mathcal{X}_2 = \{X_{p+1}, \dots, X_q\}$ , where  $\emptyset \neq X_i \subset V$  for all  $i$ . Clearly,  $\Gamma_{G_1}(s) \subseteq \cup_{X_i \in \mathcal{X}} X_i$  holds from the construction of  $\mathcal{X}$ . Therefore we need to prove that the cuts are pairwise disjoint.

From the construction of  $\mathcal{X}_1$  and Claim 4.3, it is shown in [4] that  $X_i$  and  $X_j$  are pairwise disjoint for any two cuts  $X_i, X_j \in \mathcal{X}_1$ . Claim 4.4 implies that  $X_i$  and  $X_j$  are disjoint for any two cuts  $X_i, X_j \in \mathcal{X}_2$ , because  $X_i \cup X_j$  cannot be critical of type (1) (if so, it holds  $\Gamma_{G_1}(s) \cap (X_i \cup X_j) \subseteq N_1$ , a contradiction).

Finally, we show that  $X_i$  and  $X_j$  are disjoint for each  $X_i \in \mathcal{X}_1$  and  $X_j \in \mathcal{X}_2$ . Note that  $\Gamma_{G_1}(s) \cap (X_j - X_i) \neq \emptyset$  holds from definition of  $N_1$ . Then  $X_j \subset X_i$  does not hold. Also note that  $X_i \subset X_j$  does not hold, since otherwise  $\Gamma_{G_1}(s) \cap X_i \neq \emptyset$  and  $\Gamma_{G_1}(s) \cap (X_j - X_i) \neq \emptyset$  imply  $c_{G_1}(X_j, s) \geq c_{G_1}(X_i, s) + 1 \geq 2$ , contradicting that  $X_j$  is of type (2). Assume that  $X_i$  and  $X_j$  cross each other in  $G_1$ . Then, by  $\Gamma_{G_1}(s) \cap (X_j - X_i) \neq \emptyset$ , Claim 4.5 implies that  $X_j - X_i$  is a critical cut of type (1). This implies that no vertex in  $X_j$  belongs to  $N_2$ , contradicting  $X_j \in \mathcal{X}_2$ .  $\square$

Before proceeding to Step II, let us consider the time complexity of Step I for constructing  $G_1$  from  $G$ . Let  $G'$  be the multigraph obtained from  $G$  by adding a vertex  $s$  and  $\max\{r_\lambda(x, y) \mid x, y \in V\}$  edges between  $s$  and every vertex  $v \in V$ . For each  $v \in V$ , we perform the following procedure sequentially.

1. Delete all edges in  $E_{G'}(s, v)$  and denote the resulting multigraph again as  $G'$  (i.e.,  $G' := G' - E_{G'}(s, v)$ ). Compute  $\lambda_{G'}(x, y)$  for all  $x, y \in V$ .
2. Add to  $G'$   $\max_{x, y \in V} [\{r_\lambda(x, y) - \lambda_{G'}(x, y)\}, 0]$  edges between  $s$  and  $v$ , and denote the resulting multigraph as  $G'$ .
3. If there is a cut vertex  $w \neq s$  in the current  $G'$  (hence  $c_{G'}(s, v) = 0$ ), then add one edge  $(s, v)$  to  $G'$ .

By Step 2, the current  $G'$  satisfies (3.1). In Step 3, whenever there is a cut vertex  $w \neq s$ , adding one edge  $(s, v)$  preserves (3.2), because this  $w$  becomes a cut vertex by  $c_{G'}(s, v) = 0$ . So the resulting  $G'$  satisfies (3.1) and (3.2), and it is easy to see that the removal of any edge in  $E_{G'}(s, v)$  of  $G'$  violates (3.1) or (3.2). Step 1 for a vertex  $v$  can be carried out in  $O(n^2 m' \log(n^2/m'))$  time by using a Gomory-Hu tree [9] which is constructed by  $n - 1$  maximum flow computations [8], where  $m' = m(G') = O(m(G) + n(G))$  (by a Gomory-Hu tree, we can obtain the values  $\lambda_{G'}(x, y)$  for all  $x, y \in V$ ). Steps 2 and 3 can be executed in  $O(m(G) + n(G))$  time. Therefore, the entire computation for all  $v \in V$  can be done in  $O(n^3(m + n) \log(n^2/(m + n)))$  time.  $\square$

## 5 Step II

Let  $G_1 = (V \cup \{s\}, E \cup F_1)$  be the multigraph obtained from  $G = (V, E)$  in Step I. Step II computes the multigraph  $G_2$  by applying a complete splitting at  $s$  in  $G_1$ , which preserves the  $r_\lambda$ -edge-connectivity. As noted in Section 2.3, such a complete splitting can be computed in  $O(n^3 m' \log(n^2/m'))$  time, where  $m' = m(G_1) = O(m(G) + n(G))$ , and the number of new pairs

of adjacent vertices in  $G_2$  is  $O(n)$ . Thus, the number  $m(G_2)$  of pairs of adjacent vertices in  $G_2$  is  $O(m(G) + n(G))$ .

**Remark 5.1:** If  $c_{G_1}(s)$  is odd in the beginning of Step II, a “non cut vertex”  $\hat{w}$  of  $G$  is chosen to add an extra edge  $\hat{e} = (s, \hat{w})$  to  $G_1$ . The choice of this  $\hat{w}$  will play an important role in proving the correctness of Step IV.

## 6 Step III

Let  $G_2 = (V, E \cup F_2)$  be the multigraph obtained in Step II. This  $G_2$  is  $r_\lambda$ -edge-connected but has cut vertices. The correctness of Step III follows from Properties 3.2 and 3.3.

**Proof of Property 3.2:** This property follows since  $T_1 \cap T_2 = \emptyset$  holds and  $G_2[T_1 \cup \{v_1\}] - e_1$  is connected by  $\lambda(G_2) \geq 2$ . See [15] for the details.  $\square$

To show Property 3.3, we use the next claim, which can be easily seen from the definition of the admissibility.

**Claim 6.1** *Let  $v \in V$  be a cut vertex in  $G_2$ . Assume that a  $v$ -component  $T$  contains an admissible edge  $e = (u, u')$  with respect to  $v$ . Then  $G_2[T] - e$  contains a path  $P$  between  $u$  and  $u'$ .*

$\square$

As defined in the statement of Property 3.3, given a cut vertex  $v$ , let  $e_1 = (u_1, w_1) \in F_2$  be an edge in a  $v$ -component  $T_1$  of  $G_2$ , admissible with respect to  $v$ ,  $T_2$  be another  $v$ -component in  $G_2$  such that  $e_1 \notin E(G_2[T_2 \cup \{v\}])$ , and  $e_2 = (u_2, w_2) \in F_2$  be an edge in  $G_2[T_2 \cup \{v\}]$ . Then  $G'_2 = (V, E \cup F'_2)$  denotes the multigraph obtained from  $G_2$  by switching  $e_1$  and  $e_2$ , where  $F'_2 = F_2 \cup \{(u_1, u_2), (w_1, w_2)\} - \{e_1, e_2\}$ .

**Proof of Property 3.3(i):** We show that  $\lambda_{G'_2}(x, y) \geq r_\lambda(x, y)$  holds for all  $x, y \in V$ . Assume otherwise; i.e., there is a cut  $X$  such that  $c_{G'_2}(X) \leq r_\lambda(X) - 1$  holds. Note that  $c_{G'_2}(X) \geq c_{G_2}(X)$  holds if cut  $X$  does not separate  $\{u_1, u_2\}$  and  $\{w_1, w_2\}$  in  $G'_2$ . Therefore assume that  $X$  separates  $\{u_1, u_2\}$  and  $\{w_1, w_2\}$ , and hence  $c_{G'_2}(X) = c_{G_2}(X) - 2$ , i.e.,  $c_{G_2}(X) \leq r_\lambda(X) + 1$ . Since at least one of the cuts  $X$  and  $V - X$  crosses each of the  $v$ -components  $T_1$  and  $T_2$ , the subgraph  $G_2[X]$  or  $G_2[V - X]$  (the one not containing  $v$ ) is not connected. Without loss of generality, assume that  $G_2[X]$  is not connected, i.e., two cuts  $X_i$ ,  $i = 1, 2$ , satisfy  $X_1 \cap X_2 = \emptyset$ ,  $X_1 \cup X_2 = X$ , and  $E_{G_2}(X_1, X_2) = \emptyset$ . The  $r_\lambda$ -edge-connectivity of  $G_2$  implies  $c_{G_2}(X_i) \geq r_\lambda(X_i) \geq 2$  for  $i = 1, 2$ . Furthermore, at least one of  $c_{G_2}(X_1) \geq r_\lambda(X)$  and  $c_{G_2}(X_2) \geq r_\lambda(X)$  holds, because there is a vertex pair  $x$  and  $y$  such that  $x \in X$ ,  $y \in V - X$  and  $r_\lambda(x, y) = r_\lambda(X)$ . Therefore  $c_{G_2}(X) = c_{G_2}(X_1) + c_{G_2}(X_2) \geq r_\lambda(X) + 2$ , contradicting the assumption  $c_{G_2}(X) \leq r_\lambda(X) + 1$ .  $\square$

**Proof of Property 3.3(ii):** We show that  $p(G'_2 - v) < p(G_2 - v)$  holds. It suffices to show that  $G'_2[T_1 \cup T_2]$  is connected. This follows from Claim 6.1,  $\lambda(G_2) \geq 2$  and  $E_{G'_2}(T_1, T_2) \neq \emptyset$  (see [15] for the details).  $\square$

**Proof of Property 3.3(iii):** We show that  $\kappa_{G'_2}(x, y) \geq 2$  holds if  $\kappa_{G_2}(x, y) \geq 2$ . Assume that there are vertices  $x, y \in V$  such that  $\kappa_{G_2}(x, y) = 2$  but  $\kappa_{G'_2}(x, y) = 1$ . Let  $v' \in V$  denote a cut vertex in  $G'_2$  that disconnects  $x$  and  $y$ . Clearly,  $v' \neq v$  (because  $v$  is a cut vertex in  $G_2$  and  $v = v'$  would imply  $\kappa_{G_2}(x, y) = 1$ ). Let  $W_1, W_2, \dots, W_q$  ( $q \geq 2$ ) be the  $v'$ -components of  $G'_2$ , where  $x \in W_1$  and  $y \in W_2$ . In this case,  $G_2[W_1 \cup W_2 \cup \{v'\}]$  contains all the end vertices  $u_1, w_1, u_2$ , and  $w_2$  (otherwise, switching  $e_1$  and  $e_2$  would join some other two  $W_i, W_j$ , contradicting the definition of  $W_1, W_2$ ). Since the cut vertex  $v'$  does not disconnect  $x$  and  $y$  in  $G_2$ ,  $e_1 \in E_{G_2}(W_1, W_2)$  or  $e_2 \in E_{G_2}(W_1, W_2)$  holds. Also no edge other than  $e_1$  and  $e_2$  belongs to  $E_{G_2}(W_1, W_2)$ . We show that  $u_i, w_i \in W_j$  cannot hold for any  $i, j$  with  $1 \leq i, j \leq 2$ . For this, assume  $u_1, w_1 \in W_1$  without loss of generality. Then  $e_2 = (u_2, w_2) \in E_{G_2}(W_1, W_2)$  holds, where we assume  $u_2 \in W_1$  and  $w_2 \in W_2$  without loss of generality. Hence  $(w_1, w_2) \in E_{G'_2}(W_1, W_2)$  would hold, contradicting that  $W_1$  and  $W_2$  are  $v'$ -components in  $G'_2$ . Therefore, for each  $i = 1, 2$ , we see that  $e_i = (u_i, w_i) \in E_{G_2}(W_1, W_2)$  or  $v' \in \{u_i, w_i\}$ .

We first consider the case  $e_1 \in E_{G_2}(W_1, W_2)$ . Thus  $v' \in T_1$  holds since  $G_2[T_1] - e_1$  is connected by Claim 6.1. Since  $v' \in T_1$  implies  $u_2 \neq v' \neq w_2$ , we have  $e_2 \in E_{G_2}(W_1, W_2)$ ; assume  $u_1 \in T_1 \cap W_1$ ,  $w_1 \in T_1 \cap W_2$ ,  $u_2 \in T_2 \cap W_1$ ,  $w_2 \in T_2 \cap W_2$  and  $v \in W_1$  without loss of generality. Since the cut vertex  $v \in W_1$  disconnects  $w_1 \in T_1$  and  $w_2 \in T_2$  in  $G_2$ ,  $c_{G_2}(T_2 \cap W_2, W_2 \cup \{v'\} - T_2) = 0$  holds. From this and  $E_{G_2}(W_1, W_2) = \{e_1, e_2\}$ ,  $c_{G_2}(T_2 \cap W_2) = |\{e_2\}| = 1$  holds, contradicting the  $r_\lambda$ -edge-connectivity of  $G_2$ .

We then consider the case  $e_1 \notin E_{G_2}(W_1, W_2)$  (i.e.,  $v' = u_1 \in T_1$  or  $v' = w_1 \in T_1$ ). In this case, we see that  $e_2 \in E_{G_2}(W_1, W_2)$  and  $v' \notin T_2$ . This also leads to a contradiction, analogously to the above case.  $\square$

**Proof of Property 3.3(iv):** We show that (3.3) holds in  $G'_2$ . If  $G'_2[T \cup \{v\}]$  contains no edge in  $F'_2$  for some cut vertex  $v$  and its  $v$ -component  $T$ , then  $T$  contains no end vertex of an edge in  $F'_2$  in  $G'_2$ . This implies that  $\Gamma_{G_1}(s) \cap T = \emptyset$  holds in Step I, i.e.,  $|\Gamma_G(T)| \leq 1$  but  $c_{G_1}(s, T) = 0$ , contradicting (3.2) in  $G_1$ .  $\square$

Now we evaluate the time complexity of Step III. We can check whether  $G_2$  has more than one cut vertex in linear time. If this is the case, for an admissible edge  $e_1 \in F_2$  in  $G_2[T_1 \cup \{v_1\}]$ , an edge  $e_2$  in Property 3.3 can be found in linear time by computing all biconnected components of  $G_2$  [30]. Also, if  $G_2$  has exactly one cut vertex  $v$ , then we can find a pair of edges  $e_1$  and  $e_2$  in Property 3.3 in linear time by computing all bridges in  $G_2[V - v]$ . By switching such a pair of edges in  $F_2$ , Property 3.3(ii) and (iii) tell that the number of  $v$ -components decreases at least by one, and for other cut vertices  $v'$  in  $G_2$ , the number of  $v'$ -components does not increase. Note that the total number of  $v$ -components over all cut vertices  $v$  in  $G_2$  is at most  $2n$ . Therefore, the number of switching executed in Step III is  $O(n)$ . This also implies that the number of new pairs of adjacent vertices created by the switchings is  $O(n)$ . Thus, the number of pairs of adjacent vertices in  $G_3$ ,  $m(G_3)$ , is  $O(m + n)$ . Therefore Step III can be performed in  $O(n \cdot m(G_3)) = O(nm)$  time.

## 7 Step IV

Let  $G_3 = (V, E \cup F_3)$  be the multigraph obtained after Step III, where  $|F_3| = \lceil \hat{\alpha}(G)/2 \rceil$ ;  $G_3$  is  $r_\lambda$ -edge-connected, has exactly one cut vertex  $v$  and satisfies (3.3). The correctness of Step IV follows from Property 3.4, which we now prove via two claims. Let  $\mathcal{X}$  be the set of disjoint critical cuts of Claim 4.6 for  $G_1$ . Recall that one edge  $\hat{e} = (s, \hat{w})$  is added to  $|F_1|$  at the beginning of Step II if  $c_{G_1}(s)$  is odd in Step II, where  $\hat{w}$  is chosen to be a non-cut vertex in  $G$ . We call this edge  $\hat{e} = (s, \hat{w})$  *additional*.

**Claim 7.1** *For each critical cut  $X \in \mathcal{X}$  of type (1) in  $G_1$ , the induced multigraph  $G_3[X]$  contains no edge in  $F_3$ .*

**Proof:** It follows from  $\lambda(G_3) \geq 2$  (see [15] for the details).  $\square$

**Claim 7.2**  *$F_3$  contains no edge incident to the cut vertex  $v$  in  $G_3$ .*

**Proof:** We assume that  $G_3$  has an edge  $e = (v, v') \in F_3$  incident to the cut vertex  $v$ . Note that Claim 4.6 implies that  $v$  is contained in a critical cut in  $G_1$ , except for the case that  $v = \hat{w}$ , (where  $\hat{e} = (s, \hat{w})$  is an additional edge).

Case-1:  $v$  is contained in a critical cut  $X$  of type (1) in  $G_1$ . Then  $v, v' \in \Gamma_{G_1}(s)$  holds by  $e = (v, v') \in F_3$ . We first show that both  $G_3[X]$  and  $G_3[V - X]$  are connected. Since  $G[X]$  is connected by Claim 4.1,  $G_3[X]$  is also connected. Assume that  $G_3[V - X]$  has two disjoint vertex sets  $V_1$  and  $V_2$  such that  $V_1 \cup V_2 = V - X$  and  $c_{G_3}(V_1, V_2) = 0$ . In this case,  $c_{G_3}(V_i, X) \geq 2$  holds for  $i = 1, 2$  since  $\lambda(G_3) \geq 2$ . There are vertices  $x^* \in X$  and  $y^* \in V - X$  with  $r_\lambda(x^*, y^*) = r_\lambda(X) \geq c_{G_3}(X) - 1$  (note that  $r_\lambda(X) + 1 = c_{G_3}(X)$  holds if  $\hat{w} \in X$ ). Assume  $y^* \in V_1$  without loss of generality. Then we have  $c_{G_3}(V_1) = c_{G_3}(V - X) - c_{G_3}(V_2) \leq c_{G_3}(V - X) - 2 = c_{G_3}(X) - 2 \leq r_\lambda(x^*, y^*) - 1 \leq r_\lambda(V_1) - 1$ , contradicting the  $r_\lambda$ -edge-connectivity of  $G_3$ . Therefore  $G_3[V - X]$  is also connected.

Claim 7.1 and  $v \in X$  imply  $v' \in V - X$ . Let  $T'$  be the  $v$ -component that contains  $v'$ . Since  $G_3[X]$  and  $G_3[V - X]$  are both connected, we see that  $G_3[X]$  contains all the  $v$ -components except for  $T'$ . Now for each  $v$ -component  $T \subset X$ , there is another edge  $(t, t') \in F_3$  with  $t \in T \subset X$  by (3.3) (note that  $F_3$  is the final  $F_2$  in Step II and hence satisfies (3.3)). By Claim 7.1, the other end vertex  $t'$  is not in  $X$ , i.e.,  $t' \in V - X = T' - X$ . Such edge  $(t, t')$  connects two  $v$ -components  $T$  and  $T'$  in  $G_3$ , contradicting that  $v$  is a cut vertex of  $G_3$ .

Case-2:  $v$  is contained in a critical cut  $X$  of type (2) in  $G_1$ . Let  $\{x\} = \Gamma_G(X)$  and  $V' \subseteq V$  be the component of  $G$  that contains  $v$ . Thus,  $X$  is an  $x$ -component of  $G$  (where  $x$  is not necessarily a cut vertex in  $G$ ). Note that no other edge than  $e$  in  $F_3$  is incident to  $v$  except for the case that  $v = \hat{w}$ .

(i) The case where  $e$  is the only edge in  $F_3$  which is incident to  $v$  in  $G_3$ . We see that  $v$  is not a cut vertex in  $G$  (this can occur if  $e$  connects two components in  $G$ ). If  $v$  is a cut vertex in  $G$ , then we have  $v \neq \hat{w}$  and Lemma 2.1 implies that there is a  $v$ -component  $X_v \subseteq X - v$  of  $G$ , which contradicts that the critical cut  $X$  of type (2) has no neighbor of  $s$  in  $G_1$  other than  $v$  (see

[15] for the details). Therefore  $G[V' - v]$  is connected. Thus  $G_3[V' - v]$  is connected and hence  $V' - v$  is contained in a  $v$ -component  $T_1$  of  $G_3$ . Hence for any other  $v$ -component  $T_2$  of  $G_3$ ,  $T_2$  is contained in a component of  $G$  other than  $V'$  and therefore  $E_{G_3}(T_2) = E_{G_3}(T_2, v) \subseteq F_3$  holds. By  $\lambda(G_3) \geq 2$ ,  $E_{G_3}(T_2)$  must contain at least two edges in  $F_3$ , a contradiction.

(ii) The case where at least one more edge (say,  $e' \neq e$ ) of  $F_3$  is incident to  $v$ . In this case, at least two edges in  $F_3$  is incident to  $v$  in  $G_3$ . However, since  $c_{G_1}(s, X) = 1$  holds in  $G_1$  (since  $X$  is of type (2)), it means that the additional edge  $(s, \hat{w})$  with  $v = \hat{w}$  has been chosen in Step II. Thus,  $E_{G_3}(v) \cap F_3 = \{e, e'\}$  and  $v = \hat{w}$ . Since  $\hat{w} = v$  is not a cut vertex in  $G$ ,  $G[V' - v]$  (and hence  $G_3[V' - v]$ ) is connected, and  $V' - v$  is contained in a  $v$ -component  $T_1$  in  $G_3$ . From this, any other  $v$ -component  $T_2$  satisfies  $E_{G_3}(T_2) = E_{G_3}(T_2, v) \subseteq F_3$ . By  $\lambda(G_3) \geq 2$ ,  $E_{G_3}(T_2)$  must contain at least two edges in  $F_3$ . Thus, by  $E_{G_3}(v) \cap F_3 = \{e, e'\}$ , we see that there are exactly two  $v$ -components  $T_1$  and  $T_2$  in  $G_3$  and  $E_{G_3}(v) \cap F_3 = \{e, e'\} = E_{G_3}(T_2)$ . By (3.3),  $G_3[T_1 \cup \{v\}]$  contains at least one edge  $e^* = (u^*, w^*) \in F_3$ . From  $E_{G_3}(v) \cap F_3 = E_{G_3}(T_2)$ , this  $e^*$  is not incident to  $v$ . Then we see that  $e^* \in F_3$  is admissible with respect to  $v$  in  $G_3$  since otherwise  $\lambda(G[V - v']) > 0$  implies that there is another component  $V'' (\subseteq T_1 - V')$  of  $G$  with  $E_{G_3}(V'', T_1 - V'') = \{e^*\}$ , which contradicts  $\lambda(G_3) \geq 2$  (see [15] for the details). This contradicts the assumption of  $G_3$ .

Case-3: The remaining case (i.e.,  $v$  is contained in no critical cut  $X \in \mathcal{X}$  and  $v = \hat{w}$  holds). Let  $V' \subseteq V$  be the component of  $G$  that contains  $v$ . Clearly, no other edge  $e' \neq e$  in  $F_3$  is incident to  $v$ , and  $G[V' - v]$  (and hence  $G_3[V' - v]$ ) is connected since  $v = \hat{w}$  is not a cut vertex of  $G$ . Thus,  $V' - v$  is contained in a  $v$ -component  $T_1$ , and  $E_{G_3}(v, T_2) = \{e\}$  holds for another  $v$ -component  $T_2$  in  $G_3$ . This  $T_2$  satisfies  $c_{G_3}(T_2) = 1$ , contradicting  $\lambda(G_3) \geq 2$ .  $\square$

**Proof of Property 3.4:** Since  $|F_3| = \lceil \hat{\alpha}(G)/2 \rceil$  holds from construction, it suffices to show  $p(G - v) = p(G_3 - v) + |F_3|$ . If  $p(G - v) < p(G_3 - v) + |F_3|$ , then there is at least one edge  $e \in F_3$  such that  $p((G_3 - v) - e) = p(G_3 - v)$ . Thus  $e$  is admissible with respect to  $v$ , since no edge in  $F_3$  is incident to  $v$  by Claim 7.2. This contradicts the construction of  $G_3$  (since this implies that Step III has not finished yet). Therefore  $p((G_3 - v) - e) = p(G_3 - v) + 1$  for all edges  $e \in F_3$ . This leads to  $p(G - v) = p(G_3 - v) + |F_3|$ .  $\square$

Clearly, Step IV can be executed in linear time since computing all biconnected components of  $G_3$  can be done in linear time [30].

As a result of proofs in Sections 4 – 7, the correctness of algorithm EV-AUGMENT has been proved. By summing up the running time of all steps, we conclude that the total time complexity of algorithm EV-AUGMENT is  $O(n^3(m + n) \log(n^2/(m + n)))$ . This proves Theorem 3.1.

Before concluding this section, we remark that in the special case of the uniform requirement  $r_\lambda(x, y) = \ell$  for all  $x, y \in V$ , the complexity can be improved by a factor of  $n^2$ . By results of [28, 29], we observe the following theorem. See [15] for the proof.

**Theorem 7.1** *Problem EVAP( $\ell, 2$ ) can be solved by algorithm EV-AUGMENT in  $O((nm + n^2 \log n) \log n)$  time.*  $\square$



## 8 Preserving Simplicity

In this section, we consider another variant of the augmentation problem: Given a *simple* graph  $G = (V, E)$  and requirement functions  $r_\lambda$  and  $r_\kappa$ , find a smallest set  $F$  of new edges such that  $G' = (V, E \cup F)$  remains simple and becomes  $(r_\lambda, r_\kappa)$ -connected. This problem is called the *simplicity preserving edge-and-vertex-connectivity augmentation problem*, and is denoted by  $\text{SEVAP}(r_\lambda, r_\kappa)$ .

The problem  $\text{SEVAP}(r_\lambda, 0)$  was first posed in [5] as an important open problem, and recently Jordán [22] proved that  $\text{SEVAP}(\ell, 0)$  (i.e.,  $r_\lambda(x, y) = \ell$  for all  $x, y \in V$ ) is NP-hard for a general  $\ell$  even if the input simple graph  $G$  is assumed to be  $(\ell - 1)$ -edge-connected. On the other hand, Bang-Jensen and Jordán [1] showed that  $\text{SEVAP}(r_\lambda, 0)$  can be solved in polynomial time if  $\ell^* = \max\{r_\lambda(x, y) \mid x, y \in V\}$  is considered to be a fixed constant. They proved the next result, which plays a key role in their algorithm.

**Lemma 8.1** [1] *Let  $G' = (V \cup s, E')$  be a multigraph such that  $G' - s$  is simple and  $r_\lambda$ -edge-connected, where  $r_\lambda : \binom{V}{2} \rightarrow Z^+$  is a given function. Then there are polynomial functions  $f(\ell^*)$  and  $g(\ell^*)$  of  $\ell^* = \max\{r_\lambda(x, y) \mid x, y \in V\}$  satisfying the following properties.*

- (i) *If  $c_{G'}(s) \geq f(\ell^*)$ , then there is a complete splitting such that the resulting multigraph (ignoring  $s$ ) is simple and  $r_\lambda$ -edge-connected. Moreover, such a complete splitting can be obtained in polynomial time.*
- (ii) *If  $c_{G'}(s) \leq f(\ell^*)$ , then  $G' - s$  can be augmented by at most  $g(\ell^*)$  new edges so that the resulting multigraph becomes simple and  $r_\lambda$ -edge-connected.  $\square$*

For a uniform requirement  $r_\lambda = \ell$ ,  $f(\ell) = 3\ell^4$  and  $g(\ell) = 3\ell^4/2 + 2\ell^2 + 1$  are shown in [1].

In this section, we show that algorithm EV-AUGMENT in Section 3 can be modified to exploit Lemma 8.1 so as to solve  $\text{SEVAP}(r_\lambda, 2)$ .

**Theorem 8.1** *Given a simple graph  $G = (V, E)$  and a function  $r_\lambda : \binom{V}{2} \rightarrow Z^+$ ,  $\text{SEVAP}(r_\lambda, 2)$  can be solved in polynomial time for a fixed  $\ell^* = \max\{r_\lambda(x, y) \mid x, y \in V\}$ .  $\square$*

To solve  $\text{SEVAP}(r_\lambda, 2)$  we modify Steps II and III of algorithm EV-AUGMENT in order to maintain the simplicity of the graph.

### Algorithm EV-AUGMENT'

**Step I'**: The same as Step I of EV-AUGMENT, which computes a multigraph  $G_1$  for a given simple graph  $G$ .

**Step II'**: We distinguish the following two cases, where  $\ell^* = \max\{r_\lambda(x, y) \mid x, y \in V\}$ .

- (1)  $c_{G_1}(s) \geq f(\ell^*)$ . We perform a complete splitting at  $s$  that preserves the simplicity of  $G_1 - s$  as well as the  $r_\lambda$ -edge-connectivity of  $G_1$ . Such a splitting can be obtained in polynomial time for a fixed  $\ell^*$  by Lemma 8.1(i). Then we proceed to Step III'.

(2)  $c_{G_1}(s) < f(\ell^*)$ . By Lemma 8.1(ii), we can make  $G_1 - s$  (i.e., the input simple graph  $G$ )  $r_\lambda$ -edge-connected by adding a set  $F'_1$  of at most  $g(\ell^*)$  new edges, while preserving simplicity. Let  $G'_2 = (G_1 - s) + F'_1$ . Note that  $t(G'_2) \leq f(\ell^*)$  holds, because any minimal tight set in  $G'_2$  has at least one neighbor of  $s$  in  $G_1$ , by the construction of  $G_1$ . This tells that the input simple graph  $G'_2$  becomes 2-vertex-connected by adding a set  $F'_2$  of at most  $f(\ell^*) - 1$  new edges while preserving simplicity. The resulting  $(r_\lambda, 2)$ -connected graph  $G'_2 + F'_2$  is obviously simple. Now, since the size  $|F|$  of an optimal solution  $F$  is at most  $g(\ell^*) + f(\ell^*) - 1$ , such  $F$  can be found by inspecting all possible choices of subsets  $F \subseteq V \times V - E$  with  $|F| \leq g(\ell^*) + f(\ell^*) - 1$ . This can be done in polynomial time for a fixed  $\ell^*$ . Halt.

**Step III':** As in Step III of EV-AUGMENT, we try to continue switching edges  $e_1 = (u_1, w_1)$ ,  $e_2 = (u_2, w_2) \in F_2$ , which satisfy the assumption of Property 3.3, until there is no pair of such edges. However, to keep the multigraph resulting from a switching simple, the edges to be switched are carefully chosen.

(1)  $u_1 \notin \Gamma_{G_2}(u_2)$  and  $w_1 \notin \Gamma_{G_2}(w_2)$  holds, or  $u_1 \notin \Gamma_{G_2}(w_2)$  and  $w_1 \notin \Gamma_{G_2}(u_2)$ . Then we switch from  $e_1, e_2$  to  $(u_1, u_2), (w_1, w_2)$  in the former case (resp., to  $(u_1, w_2), (u_2, w_1)$  in the latter case). Notice that Property 3.3 says that the two switchings from  $e_1, e_2$  to  $(u_1, u_2), (w_1, w_2)$  and from  $e_1, e_2$  to  $(u_1, w_2), (u_2, w_1)$  both satisfy conditions (i) – (iv) in Property 3.3. Clearly, the resulting graph is also simple. Note that if  $G_2$  has at least two cut vertices, then we can find such pairs  $e_1, e_2 \in F_2$ .

(2) Otherwise  $e_1$  or  $e_2$  (say,  $e_1$ ) is incident to  $v$ . Let  $e_1 = (u_1, v)$  and  $T_1$  be a  $v$ -component containing  $u_1$ . We choose an arbitrary vertex  $w$  in a  $v$ -component  $T_2$  different from  $T_1$ , replace the edge  $e_1 = (u_1, v)$  with a new edge  $e' = (u_1, w)$ , and update  $F_2$  by  $(F_2 - \{e_1\}) \cup \{e'\}$ . Clearly, the resulting multigraph  $G'_2$  remains simple. As will be shown as Property 8.1 below,  $G'_2$  still satisfies all conditions (i)–(iv) of Property 3.3.

We repeat these operations (1) or (2) as long as possible. If this leads to the graph  $G'_2$  without a cut vertex, we are done; output the resulting  $F_2$  as an optimal solution and halt. Otherwise we proceed Step IV'.

**Property 8.1** *Given a cut vertex  $v$  in  $G_2 = (V, E \cup F_2)$ , assume that there is an edge  $e = (u, v) \in F_2$  incident to  $v$ . Let  $T_1$  be the  $v$ -component containing  $u$ . Then for any vertex  $w \in V - (T_1 \cup \{v\})$ , the multigraph  $G'_2$  obtained by changing  $e = (u, v)$  to  $e' = (u, w)$  satisfies the conditions (i)–(iv) of Property 3.3.*

**Proof:** The proof is similar to that of Property 3.3. See [15] for the details.  $\square$

**Step IV':** We see that the resulting graph  $G_3$  has exactly one cut vertex  $v \in V$ , no edge  $e \in F_2$  incident to  $v$  and no edge  $e \in F_2$  admissible with respect to  $v$ . We add to  $G_3$  another set  $F_4$  of new edges which is computed in Step IV of EV-AUGMENT. Adding  $F_4$  preserves simplicity of  $G_3$ , because for each edge  $(x_i, x_{i+1}) \in F_4$ ,  $x_i$  and  $x_{i+1}$  belong to different

$v$ -components of  $G_3$ . Thus, as in Step IV, we conclude that  $F = F_3 \cup F_4$  is an optimal solution, which attains  $|F_3 \cup F_4| = \hat{\beta}(G)$ .

Clearly, all steps in the above algorithm EV-AUGMENT' can be executed in polynomial time (for a fixed  $\ell^*$ ). Summarizing the argument given so far, Theorem 8.1 is now established.  $\square$

## 9 Conclusion

We considered in this paper the problem of augmenting a multigraph  $G = (V, E)$  with the smallest number of new edges so as to make  $G$   $(r_\lambda, 2)$ -connected for a general requirement function  $r_\lambda : \binom{V}{2} \rightarrow Z^+$ . To solve this, we introduced a lower bound on the number of new edges, and developed an edge-switching operation that preserves the edge-connectivity and vertex-biconnectivity. The resulting algorithm runs in  $O(n^3(m+n) \log(n^2/(m+n)))$  time if  $r_\lambda$  is general, and in  $O((nm + n^2 \log n) \log n)$  time if  $r_\lambda(x, y) = \ell$  holds for all  $x, y \in V$ . It was further shown that the problem that augments a simple graph while preserving the simplicity of the graph can be solved in polynomial time for any fixed  $\ell^* = \max\{r_\lambda(x, y) \mid x, y \in V\}$ .

Recently, we generalized the above approach to the following problem: given an arbitrary multigraph  $G = (V, E)$  and an integer  $\ell \geq 3$ , find the smallest number of new edges to make  $G$   $(\ell, 3)$ -connected. The result in [16, 18] says that this problem can be solved in polynomial time for any fixed  $\ell$ . Moreover, given a  $(k-1)$ -vertex-connected multigraph  $G = (V, E)$  and two integers  $\ell$  and  $k$  with  $\ell \geq k \geq 4$ , we showed that  $G$  can be made  $(\ell, k)$ -connected by adding new edges whose size is  $O(\ell)$  over the optimum [17].

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